

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Hiroomi TORII et al. : **Mail Stop: PCT**  
Serial No. NEW : Attorney Docket No. 2006-0360A  
Filed March 21, 2006 :  
POLISHING APPARATUS  
[Corresponding to PCT/JP2004/015566  
Filed October 14, 2004]

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED  
TO WAIVE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No. \_\_\_\_\_.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, **or**  
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and  
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or  
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

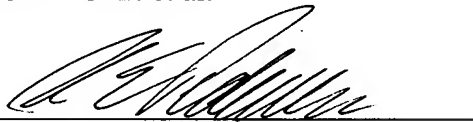
3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
  - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
  - c. ☐ the concise explanation contained in the specification of the present application at page,
  - d. ☒ the concise explanation set forth in the attached English language abstract,
  - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Hiroomi TORII et al.

By



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March 21, 2006

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FORM PTO 1449 (modified)

ATTY DOCKET NO.  
2006-0360ASERIAL NO.  
NEW

10/572948

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)APPLICANT  
Hiroomi TORII et al.

Date Submitted to PTO: March 21, 2006

FILING DATE  
March 21, 2006

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2001/0055937	12/2001	Wada et al.			
	AB	5,738,574	4/1998	Tolles et al.			
	AC	6,126,517	10/2000	Tolles et al.			
	AD	6,080,046	6/2000	Shendon et al.			
	AE	6,086,457	7/2000	Perlov et al.			
	AF	2002/0045410	4/2002	Sakurai et al.			
	AG	6,358,128	3/2002	Sakurai et al.			
	AH	2004/0137823	7/2004	Sakurai et al.			
	AI	6,354,922	3/2002	Sakurai et al.			
	AJ	6,131,589	10/2000	Vogtmann et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	0 774 323 A2	5/1997	EP				
	AK	8-229807	9/1996	JP			abstract	
	AL	1 034 887 A2	9/2000	EP				
	AM	99/41022	8/1999	WO				
	AN							

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	
	AQ	

EXAMINER

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,283,827	9/2001	Vogtmann et al.			
	AB	6,267,642	7/2001	Vogtmann et al.			
	AC	6,102,057	8/2000	Vogtmann et al.			
	AD	6,505,635	1/2003	Vogtmann et al.			
	AE	6,405,740	8/2000	Vogtmann et al.			
	AF	6,796,881	9/2004	Vogtmann et al.			
	AG	2004/0221874	11/2004	Wada et al.			
	AH	6,325,698	12/2001	Wada et al.			
	AI	2003/0211812	11/2003	Isobe et al.			

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
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	AK						
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